

12/10/97

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PTO/SB/05 (6-95)

Approved for use through 11/30/98 OMB 0651-0032
Patent and Trademark Office: US Department of Commerce

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02/PTO
Rev. 6/95US Department of Commerce
Patent and Trademark Office

Attorney Docket Number

ARC 2600 R1

NEW UTILITY PATENT

APPLICATION TRANSMITTAL

First Named Inventor

THEEUWES, Felix, et al.

Total Pages in this
Submission

APPLICATION ELEMENTS

Note: Checklist items mentioned under *Application Elements* section construct a new utility patent application. Please refer to MPEP Sections 506,601, (37 CFR 1.77, 1.53, 35 USC 111, 112, 113) for detailed explanation regarding completeness of an original patent application.

1. ☒ Fee Transmittal Form (prescribed filing fee(s))
2. ☒ Specification
 - ☒ Title of the Invention
 - ☐ Cross References to Related Applications (if applicable)
 - ☐ Statement Regarding Federally-sponsored Research/Development (if applicable)
 - ☐ Reference to Microfiche Appendix (if applicable)
 - ☒ Background of the Invention
 - ☒ Brief Summary of the Invention
 - ☒ Brief Description of the Drawings (if drawings filed)
 - ☒ Detailed Description
 - ☒ Claim or Claims
 - ☒ Abstract of the Disclosure
3. ☒ Drawing(s) (when necessary as prescribed by 35 USC 113)
4. ☒ Executed Declaration
5. ☐ Genetic Sequence Submission (if applicable, all must be included)
 - ☐ Paper Copy
 - ☐ Computer Readable Copy
 - ☐ Statement Verifying Identical Paper and Computer Readable Copy

ACCOMPANYING APPLICATION PARTS

6. ☐ Assignment Papers
7. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
8. ☐ Computer Program in Microfiche
9. ☐ English Translation Document (if applicable)
10. ☒ Information Disclosure Statement/PTO-1449 ☒ Copies of IDS Citations
11. ☐ Petition Checklist and Accompanying Petition
12. ☐ Preliminary Amendment
13. ☐ Proprietary Information
14. ☒ Return Receipt Postcard
15. ☐ Small Entity Statement
16. ☐ Additional Enclosures (please identify below)

ADDRESS OF APPLICANT, ATTORNEY, OR AGENT

ALZA Corporation
950 Page Mill Road
P.O. Box 10950
Palo Alto, CA 94303-0802

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or
Individual name

D. Byron Miller

Signature

Date

December 10, 1997

FOR OFFICIAL USE ONLY

Application Number	Class:	Independent Claims:
Date of Receipt	Application Type:	GAU:
	Filing Date:	Foreign Filing License?:
	Small Entity:	Foreign Address?:
		Drawing Sheets:
		Special Handling?:

SEND TO: Box PATENT APPLICATION, Assistant Commissioner for Patents, Washington, DC 20231.

CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10, Express Mail mailing label no. TB 057 257 057 US, addressed to Box PATENT APPLICATION, Assistant Commissioner for Patents, Washington, D.C. 20231 on December 10, 1997 (date)

By:

Henrietta Votaw
Henrietta Votaw

US PATENT AND TRADEMARK OFFICE

PTO/SB/17 (6-95)
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0002/PTO Rev. 10/95		US Department of Commerce Patent and Trademark Office		Complete if Known	
FEE TRANSMITTAL		Application Number			
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		Group Art Unit			
Total Amount of Payment		(\$) 900.00		Examiner Name	
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METHOD OF PAYMENT (check one)				FEE CALCULATION (continued)																																																																																																																																																																																			
1 <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to: Deposit Account Number: 01-1173 Deposit Account Name: ALZA Corporation <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.311(b)				3 ADDITIONAL FEES <table border="1"> <thead> <tr> <th>Large Fee Code</th> <th>Entity Fee (\$)</th> <th>Small Fee Code</th> <th>Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65</td><td>Surcharge-late filing fee or oath</td><td></td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25</td><td>Surcharge-late provisional filing fee or cover sheet</td><td></td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130</td><td>Non-English specification</td><td></td></tr> 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